

## Regulatory and Other Committee

### Open Report on behalf of Pete Moore, Executive Director Finance and Public Protection

Report to:	<b>Audit Committee</b>
Date:	<b>25 January 2016</b>
Subject:	<b>Internal Audit Progress Report</b>

#### Summary:

This report provides an update on audit work undertaken in the period 1st July 2015 to 31st December 2015.

#### Recommendation(s):

That the Committee notes the outcomes of Internal Audit work and identifies any actions it requires.

#### Background

This report provides details of the internal audit work during the period 1<sup>st</sup> September 2015 to 31 December 2015. It also advises on progress with the 2015/16 Audit Plan and raises any other matters that may be relevant to the Audit Committee role.

#### Conclusion

During the period we have completed 12 County audits, 5 to final report and 4 to draft report stage as well as finalising 3 school audits. There are currently 2 audits in progress.

The service restructure was implemented 1<sup>st</sup> October 2015. The period of transition into the new structure and recruitment to vacant posts has not progressed as swiftly as anticipated and will continue to impact on audit resources to the end of 2015/16. We are managing this resource shortage with use of temporary staff where possible.

The Committee should note the outcomes of the audits and identify any action required, seeking assurance that they:

- understand the level of assurances being given as a result of audit work and the impact on the Council's governance, risk and control environment

- ensure management action has or is being taken to improve controls / manage risks identified

## Consultation

### a) Policy Proofing Actions Required

N/A

## Appendices

These are listed below and attached at the back of the report	
Appendix A	Internal Audit Progress Report
Appendix B	CIPFA BGF Audit Committee

## Background Papers

No background papers within Section 100D of the Local Government Act 1972 were used in the preparation of this report.

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